

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

K-1510



Applicant : Koji Kawaguchi et al
Title : COLOR CONVERSION FILTER, COLOR CONVERSION LAYER AND COLOR CONVERSION LIGHT EMITTING DEVICE
Serial No. : 10/620,616
Filed : July 17, 2003
Group Art Unit : 1771
Examiner :

Hon. Commissioner of Patents
P.O. Box 1450, Alexandria, VA 22313-1450

October 24, 2003

SUBMISSION OF DECLARATION

Sir:

Submitted herewith is a declaration signed by the inventors together with NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILING DATE GRANTED.

A check in the amount of \$130.00 is enclosed for surcharge for late filing of a declaration.

Please charge any further filing fee, extension fee under 37 CFR 1.17(a), (b), (c) and (d) and other fee in prosecuting the application (except issue fee) in connection with this application to Deposit Account No. 11-0219.

Respectfully submitted,
KANESAKA AND TAKEUCHI

by Manabu Kanesaka
Manabu Kanesaka
Reg. No. 31,467
Agent for Applicants

1423 Powhatan Street
Alexandria, Virginia 22314
(703) 519-9785

Docket No.
D-1510

Declaration and Power of Attorney For Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COLOR CONVERSION FILTER, COLOR CONVERSION LAYER AND
COLOR CONVERSION LIGHT EMITTING DEVICE

the specification of which

(check one)

is attached hereto.

was filed on July 17, 2003 as United States Application No. or PCT International

Application Number 10/620,616

and was amended on _____.

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

| | | | |
|--------------------------------|---------------------------|---|--------------------------|
| <u>2002-211703</u> (Number) | <u>Japan</u> (Country) | <u>19/07/2002</u> (Day/Month/Year Filed) | <input type="checkbox"/> |
| _____ (Number) | _____ (Country) | _____ (Day/Month/Year Filed) | <input type="checkbox"/> |
| _____ (Number) | _____ (Country) | _____ (Day/Month/Year Filed) | <input type="checkbox"/> |

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

| | |
|--------------------------|---------------|
| (Application Serial No.) | (Filing Date) |
| (Application Serial No.) | (Filing Date) |
| (Application Serial No.) | (Filing Date) |

I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112. I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C.F.R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

| | | |
|--------------------------|---------------|--|
| (Application Serial No.) | (Filing Date) | (Status) (patented, pending, abandoned) |
| (Application Serial No.) | (Filing Date) | (Status) (patented, pending, abandoned) |
| (Application Serial No.) | (Filing Date) | (Status) (patented, pending, abandoned) |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

| | |
|-----------------|-----------------|
| Yusuke Takeuchi | Reg. No. 30,921 |
| Manabu Kanesaka | Reg. No. 31,467 |
| Satoru Takeuchi | Reg. No. 53,670 |

Send Correspondence to:

KANESAKA AND TAKEUCHI, 1423 Powhatan Street
Alexandria, Virginia 22314

Direct Telephone Calls to:

Manabu Kanesaka at telephone number (703) 519-9785

| | |
|---|------------------------|
| Full name of sole or first inventor | |
| Koji Kawaguchi | |
| Sole or first inventor's signature | Date |
| <i>Koji Kawaguchi</i> | <i>August 8, 2003</i> |
| Residence | |
| Kanagawa, Japan | |
| Citizenship | |
| Japanese | |
| Post Office Address | |
| c/o Fuji Electric Co., Ltd., 1-1, Tanabeshinden, Kawasaki-ku, Kawasaki 210-0856, Japan | |
| Full name of second inventor | |
| Makoto Kobayashi | |
| Second inventor's signature | Date |
| <i>Makoto Kobayashi</i> | <i>August 11, 2003</i> |
| Residence | |
| Kanagawa, Japan | |
| Citizenship | |
| Japanese | |
| Post Office Address | |
| c/o Fuji Electric Co., Ltd., 1-1, Tanabeshinden, Kawasaki-ku, Kawasaki 210-0856, Japan | |

| | | |
|---|-----------------|--|
| Full name of third inventor | | |
| Kenya Sakurai | | |
| Third inventor's signature | Date | |
|  | August 08, 2003 | |
| Residence | | |
| Kanagawa, Japan | | |
| Citizenship | | |
| Japanese | | |
| Post Office Address | | |
| c/o Fuji Electric Co., Ltd., 1-1, Tanabeshinden, Kawasaki-ku, Kawasaki 210-0856, Japan | | |